From the INTERNATIONAL SEARCHING AUTHORITY

To: SAMIR A. BHAVSAR BAKER BOTTS L.L.P. 2001 ROSS AVENUE, SUITE 600 DALLAS, TX 75201-2980

Applicant's or agent's file reference

International application No.

075234 0119

PCT/US04/10069
Applicant
CANTOR INDEX LLC

PCT

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION (PCT Rule 44.1)

DOCKETED

Date of mailing (day/month/year)

FOR FURTHER ACTION See paragraphs 1 and 4 below International filing date (day/month/year) 31 March 2004 (31.03.2004)

The applicant is hereby notified that the interms lonal search report and the written opinion of the International Searching Authority have been established and are transmitted herewith.

Filing of amendments and statement under Article 19:

The applicant is entitled, if he so wishes, to amend the claims of the international application (see Rule 46):

When? The time limit for filing such amendments is normally two months from the date of transmittal of the international search report,

Where? Directly to the International Bureau of WIPO, 34 chemin des Colombettes

1211 Geneva 20, Switzerland, Facsimile No.: +41 22 740 14 35

For more detailed instructions, see the notes on the accompanying sheet.

The applicant is hereby notified that no international search report will be established and that the declaration under
Article 17(2)(a) to that effect and the written opinion of the International Searching Authority are transmitted herewith.

With regard to the protest against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:

the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.

no decision has been made vet on the protest; the applicant will be notified as soon as a decision is made.

4. Reminders

Shortly after the expiration of 18 months from the priority date, the international application will be published by the International application will be published by the International Property of the International Property of the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international Burleau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication.

The applicant may submit comments on an informal basis on the writen opinion of the International Searching Authority to the International Bureau. The International Bureau will see a copy of such comments to all designated Offices uncless an international preclaminary examination report has been or is to be established. These comments would also be made available to the public but not before the expiration of 50 months from the priority date.

Within 19 months from the priority date, but only in respect of some designated Offices, a demand for international perliminary examination must be filed if the applicant wishes so pospone the entry income and such such and 100 months from the priority date (its some Offices even later); otherwise, the applicant must, within 20 months from the priority date, perform the prescribed acts for entry into the national phase before those designated Offices.

In respect of other designated Offices, the time limit of 30 months (or later) will apply even if no demand is filed within 19 months. See the Annex to Form PCT/IB/301 and, for details about the applicable time limits, Office by Office, see the PCT Applicant's

Telephone No. 703-308-0858

Guide, Volume 11, National Chapters and the WIPO Internet site.

Name and mailing address of the ISA/ US
Mail Stop PCT, Attn: ISA/US
Commissioner for Paleus
P.O. Box 1450
Michael O'Neill
Michael O'Neill
Michael O'Neill

Alexandria, Virginia 22313-1450 Facsimile No. (703) 305-3230 Form PCT/ISA/220 (January 2004)

(See notes on accompanying sheet)

PCT

From the INTERNATIONAL SEARCHING AUTHORITY

Name and mailing address of the ISA/ US rvame and mailing address of the ISA/US
Mail Stop P.CT. Attn: ISA/US
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
Facsimile No. (703) 305-3230

Form PCT/ISA/220 (January 2004)

To:

SAMIR A. BHAVSAR BAKER BOTTS LL.P. 2001 ROSS AVENUE, SUITE 600 DALLAS, TX 75201-2980	NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT AND THE WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY, OR THE DECLARATION (PCT Rule 44-1)
	Date of mailing (day/month/year) 1.7 JUN 2005
Applicant's or agent's file reference 075234.0119	FOR FURTHER ACTION See paragraphs 1 and 4 below
International application No. PCT/US04/10069	International filing date (day/month/year) 31 March 2004 (31.03.2004)
Applicant CANTOR INDEX LLC	
The applicant is hereby notified that the international so Authority have been established and are transmitted here.	earch report and the written opinion of the International Searching rewith.
Filing of amendments and statement under Article I The applicant is entitled, if he so wishes, to amend the	claims of the international application (see Rule 40):
When? The time limit for filing such amendments search report.	s is normally two months from the date of transmittal of the international
Where? Directly to the International Bureau of W 1211 Geneva 20, Switzerland, Facsimile	1PO, 34 chemin des Colombettes No.: +41 22 740 14 35
For more detailed instructions, see the notes on th	ne accompanying sheet.
The applicant is hereby notified that no international s Article 17(2)(a) to that effect and the written opinion of	earch report will be established and that the declaration under of the International Searching Authority are transmitted herewith.
3. With regard to the protest against payment of (an) a	dditional fee(s) under Rule 40.2, the applicant is notified that:
request to forward the texts of both the protest at	s been transmitted to the International Bureau together with the applicant's nd the decision thereon to the designated Offices.
no decision has been made yet on the protest; the	e applicant will be notified as soon as a decision is made.
Bureau. If the applicant wishes to avoid or postpone public priority claim, must reach the International Bureau as providible technical preparations for international publication.	date, the international application will be published by the International ation, a notice of withdrawal of the international application, or of the led in Rules 906/s.1 and 900/s.3, respectively, before the completion of
International Bureau. The International Bureau will send a dipreliminary examination report has been or is to be establish before the expiration of 30 months from the priority date.	on the written opinion of the International Searching Authority to the copy of such comments to all designated Offices unless an international need. These comments would also be made available to the public but not
examination must be filed if the applicant wishes to postpone (in some Offices even later); otherwise, the applicant must, very into the national phase before those designated Offices.	ect of some designated Offices, a demand for international preliminary the entry into the national phase until 30 months from the priority date within 20 months from the priority date, perform the prescribed acts for
In respect of other designated Offices, the time limit of 30 m	nonths (or later) will apply even if no demand is filed within 19 months.
See the Annex to Form PCT/IB/301 and, for details about	at the applicable time limits, Office by Office, see the PCT Applicant's

Michael O'Neill Telephone No. 703-308-0858

(See notes on accompanying sheet)

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 075234.0119	FOR FURTHER see Form PCT/ISA/220 ACTION as well as, where applicable, item 5 below.		re applicable, item 5 below.
International application No. PCT/US04/10069	International filing date (day/month/year) (Earliest) Priority Date (day/month/year) 31 March 2004 (31.03.2004) 31 March 2003 (31.03.2003)		
Applicant CANTON INDEX LLC			
according to Article 18. A copy is being. This international search report consists. It is also accompanied. It is also accompanied. With regard to the language, the language in which it was filed, the language in which it was filed. The international furnished to this Authority with regard to the language in which it was filed, the language in which it was filed, the language in which it was filed, the language in which it was filed. Limit of the language in which it was filed, the language in which it was filed. Limit of the language in which it was filed, the language in which it was filed. Limit of the language in which it was filed, the language in which it was filed. Limit of the language in which it was filed, the language i	of a total of	document cited ied out on the ba er this item. basis of a trans nee disclosed in	uthority and is transmitted to the applicant in this report. sis of the international application in the lation of the international application the international application, see Box No. 1.
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INTERNATIONAL SEARCH REPORT

International application No.
PCT/US04/10069

According to International Parent Classification (PC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) U.S.: 463725-28, 40-42 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Category* Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. 1-22 Further documents are listed in the continuation of Box C. Special categories of clied documents. **A** document ching as genral state of the art which is not considered to be of particular relevance or particular relevance. **Commission or pates published on or after the international filing date or printiply claimly on which is clied to examine the examination of the international filing date or printiply claimly on which is clied to examine the publication date of another cluston or obser special reason (as appetited). **Commission of the international filing date but terr than the "consistence of particular terchance." **Commission of patents published prior to the international filing date control to examine the consistence of particular terchance. In claimed invention cannot be consistence of particular terchance. The claimed invention cannot be consistence of particular terchance. The claimed invention cannot be consistence of particular terchance. The claimed invention cannot be consistence of particular terchance. The claimed invention cannot be consistence of particular terchance. The claimed invention cannot be consistence of particular terchance. The claimed invention cannot be consistence of particular terchance. The claimed inventio		SIFICATION OF SUBJECT MATTER : A63F 9/24			
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Minimum documentation searched (classification system followed by classification symbols) U.S.: 46373-28,40-42 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Category* Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. 1-22 You of 1/77861 A2 (BLACK) 18 October 2001 (18.10.2001), whole document. 1-22 *** Seedat categorie of clied documents *** *** *** *** *** ** ** **	According to I	International Patent Classification (IPC) or to both nation	onal classif	ication and IPC	
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Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Category* Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. 1-22 Further documents are listed in the continuation of Box C. Special categories of clied documents. Special categories	U.S. : 46	3/25-28,40-42			
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Form PCT/ISA/210 (second sheet) (January 2004)					

rom the	NAL SEARCHI	NG AUTHO	ORITY			
NTERNATIONAL SEARCHING AUTHORITY To: SAMIR A. BHAVSAR BAKER BOTTS L.L.P.		PCT				
	2001 ROSS AVENUE, SUITE 600 DALLAS, TX 75201-2980			WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY		
					(PCT Rule 43bis.1)	
				Date of mailing (day/month/year)	17 JUN 2005	
••	r agent's file re	ference		FOR FURTHER ACTION See paragraph 2 below		
075234.0119	application No.		International filing date	(day/month/year)	Priority date (day/month/year)	
PCT/US04/10			31 March 2004 (31.03.2		31 March 2003 (31.03.2003)	
International	Patent Classific	ation (IPC)	or both national classificat	ion and IPC		
	9/24 and US (
Applicant						
CANTOR IN	DEX LLC					
1. This opinion contains indications relating to the following items:						
⊠в	Box No. I Basis of the opinion					
В	ox No. II	Priority				
B	iox No. III	Non-establi	ishment of opinion with re	gard to novelty, inv	entive step and industrial applicability	
□ в	lox No. IV		ity of invention			
⊠ в	Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement			to novelty, inventive step or industrial		
B	ox No. VI		cuments cited			
B	Box No. VII		fects in the international a			
E	Box No. VIII	Certain obs	servations on the internation	onal application		
2. FURTI	ER ACTIO	N				
If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("PEA") except that this does not apply where the applicant chooses an Authority other than this once to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.						
If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.						
For furt	her options, see	Form PCT	/ISA/220.			
3. For further details, see notes to Form PCT/ISA/220.						
	ailing address o		US	Authorized offic	er 1 Marthe	
Mail Stop PCT, Attn: ISA/US			Michael O'Nei	" Cancellate		
Commissioner for Patents P.O. Box 1450						
Alexandria, Virginia 22313-1450				Telephone No.	703-308-0858	

Facsimile No. (703) 305-3230
Form PCT/ISA/237 (cover sheet) (January 2004)

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

Form PCT/ISA/237(Box No. I) (January 2004)

International	application	No
IIIICI HALIOIMI	apprication	

PCT/US04/10069

Box No. I Basis of this opinion
. With regard to the language, this opinion has been established on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.
This opinion has been established on the basis of a translation from the original language into the following language which is the language of a translation furnished for the purposes of international search (under Rules 12.3 and 23.1(b)).
. With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:
a. type of material
a sequence listing
table(s) related to the sequence listing
b. format of material
in written format
in computer readable form
c. time of filing/furnishing
contained in international application as filed.
filed together with the international application in computer readable form.
furnished subsequently to this Authority for the purposes of search.
3. In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
4. Additional comments:
<u>.</u>

WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US04/10069

Box No. V Reasoned statement under Rule 43 bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement 1. Statement YES Claims NONE Novelty (N) NO Claims 1-22 YES Claims NONE Inventive step (IS) NO. Claims 1-22 YES Claims 1-22 Industrial applicability (IA) NO Claims NONE

2. Citations and explanations:

ClaimS 1-22 meet the criteria set out in PCT Article 33(4), and thus have industrial applicability because the subject matter claimed can be made or used in industry.

Claims 1-22 lack novelty under PCT Article 33(2) as being anticipated by Black. Black discloses a betting system whereby the bettors can place a positive bet on either a contestant winning or losing a contest. In other words, a player places a bet on whether a particular horse will win, place or show; or, a player or someone else places a bet that said horse will not win, place or show. Also, the reference closes commingling the former bets with the latter bets for the formation of the betting pool to which the winnings are distributed therefrom.

NOTES TO FORM PCT/ISA/228 (continued)

The letter must indicare the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the intentational application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged.
- (ii) the claim is caucelled,
- (iii) the claim is new:
- (iv) the claim replaces one or more claims as filed:
- (v) the claim is the result of the division of a claim as filed.

The following examples illustrate the manner in which amendments must be explained in the accompanying

- [Where onginally there were 48 claims and after amendment of some claims there are 51].
 "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers: claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- 2. [Where originally there were 15 claims and after amendment of all claims there are 11]: "Claims 1 to 15 replaced by amended claims 1 to 11."
- 3. [Where originally there were 14 claims and the amendments consist in cancelling some claims and in
- "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled, new claims 15, 16 and 17 added." or "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
- [Where various kinds of amendments are made]:
 Claims 1-10 unchanged: claims 11 to 13, 18 and 19 cancelled: claims 14, 15 and 16 replaced by amended claim 14: 01 and 17; new claims 20 and 21 added."

"Statement under Article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

It must be in the language in which the international application is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filled and as amended. It must be filled on a spearate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 1941)."

It may not contain any dispurging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of the citation.

Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments and any accompanying statement, under Article 19, a demand for international preliminary examination has tracted been submutted in the control of the control of

Consequence with regard to translation of the international application for entry into the national phase

The applicant's anention is drawn to the fact that, upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to the translation of the claims as filed.

For further details on the requirements of each designated elected Office, see the PCT Applicant's Guide,

NOTESTO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under Article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Yoles and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article," "Rule" and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended and application (claims, and application and drawings) may be amended and article 19 except where, e.g., the applicant wants the latter to be published for the purposes of purposation or has another crasson for amening the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only.

What parts of the international application may be amended?

Under Article 19, only the claims may be amended

During the international phase, the claims may also be amenced for further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Preliminary Examining Authority

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable. Article 41.

When ? Within 2 months from the date of transmittal of the international search report or 16 months from the priority writing. Fronting from the date of transmittal or the international seator report or to months from the printing date, whethever time limit experts gate. It should be model, towever, that the amendment of each with the sum of the printing of the sax having been received on time if they are received by the international problemation of the printing of the sax having been received on time if they are received by the international problemation applicable time fainth but before the completion of the received preparations for international publication applicable time fainth but before the completion of the received preparations for international publication and the problematic problemat applicable ((Rule 46.1).

Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

Either by cancelling one or more entire claims. by adding one or more new claims or by amending the text of one or more of the claims as filed How?

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 2020).

The amendments must be made in the language in which the international application is to be published.

What documents must/may accompany the amendments?

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.